

FBSI-25,93

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael R. Roehrs, Daniel C. Roehrs and Bryan D. Cull  
 Appl. No.: 09/986,639  
 Filed: November 6, 2001  
 Grp./A.U.: 2874  
 Title: FIBER OPTIC CONNECTOR HAVING TRANSLATING TERMINI

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on <u>MAY 28, 2002</u>	
(Date of Deposit)	
John J. Arnott (39,095)	
(Name of Person Mailing Document)	
<u>John J. Arnott</u>	
(Signature)	
<u>5/28/02</u>	
(Date of Signature)	

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**SUBMISSION OF MISSING PARTS  
 OF APPLICATION UNDER 37 C.F.R. 1.53**

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:


1. Copy of Form PTO-1533 - Notice to File Missing Parts of Application.
2. Declaration and Power of Attorney, executed by applicants Daniel C. Roehrs and Bryan D. Cull on May 28, 2002.
3. Petition for Filing on Behalf of a Non-Signing Joint Inventor Under 37 C.F.R. 1.47, in regard to remaining applicant Michael R. Roehrs, and accompanying Declaration in Support by Daniel C. Roehrs.
5. 20 sheets of substitute drawings in compliance with 37 C.F.R. 1.84.

6. Notification of Extension.
7. A check in the total amount of \$1,354.00 to cover: the filing fee (37 C.F.R. 1.16(a) et seq.) of \$439.00; the surcharge set forth in 37 C.F.R. 1.16(e) of \$65.00; the extension fee pursuant to 1.136(a) for four months extension of \$720.00; and a Petition fee under 37 C.F.R. 1.47 of \$130.00.

Applicant hereby repeats its previous assertion of entitlement to small entity status.

Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/FBSI-25,939 of HOWISON, THOMA & ARNOTT, L.L.P.

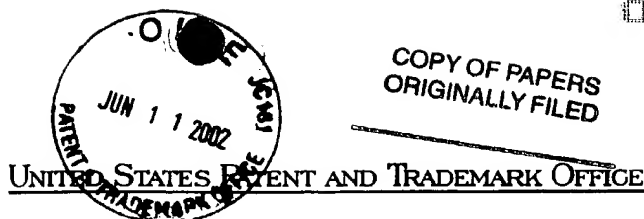
Respectfully submitted,  
HOWISON, THOMA & ARNOTT, L.L.P.  
Attorneys for Applicants

  
John J. Arnott  
Registration No. 39,095

JJA:keb

P.O. Box 741715  
Dallas, Texas 75374-1715  
Tele: 972-479-0462  
Fax: 972-479-0464  
May 28, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,639	11/06/2001	Michael R. Roehrs	FBSI-25,939

CONFIRMATION NO. 5614

25883

HOWISON, CHAUZA, HANDLEY & ARNOTT L.L.P.  
P.O. BOX 741715  
DALLAS, TX 75374-1715

FORMALITIES LETTER



\*OC000000007126876\*

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JUN 18 2002

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Date Mailed: 11/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$138.
  - \$54 for 3 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1008.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

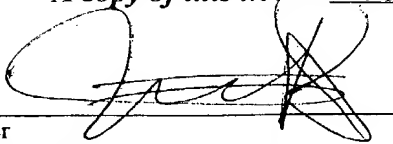
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/13/2002 AWKDAF1 00000140 09986639

03 FC:201	370.00 00
03 FC:205	65.00 00
04 FC:202	42.00 00
05 FC:203	27.00 00

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'J. A.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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09/986,639 06/11/02

DAC

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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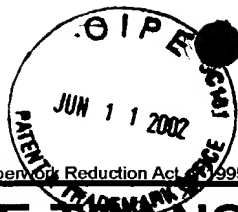
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/986,639
	<b>Filing Date</b>	11/06/2001
	<b>First Named Inventor</b>	Michael R. Roehrs
	<b>Group Art Unit</b>	2874
	<b>Examiner Name</b>	To Be Assigned
	<b>Attorney Docket Number</b>	FBSI-25,939
<b>Total Number of Pages in This Submission</b>		

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">[Redacted]</div>
<b>Remarks</b>		
<b>RECEIVED</b> JUN 18 2002		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		OFFICE OF PETITIONS
Firm or Individual name	HOWISON, THOMA & ARNOTT, L.L.P.	
Signature	<i>John J. Arnott</i> (39,095)	
Date	5/28/02	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>5/28/02</u>			
Typed or printed name	John J. Arnott (39,095)		
Signature	<i>John J. Arnott</i>	Date	5/28/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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094 6639 061102

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1354.00

## Complete if Known

Application Number	09/986,639
Filing Date	11/06/2001
First Named Inventor	Michael R. Roehrs
Examiner Name	To Be Assigned
Group Art Unit	2874
Attorney Docket No.	FBSI-25,939

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 20-0780/FBSI-25,939  
Deposit Account Name: Howison, Thoma & Arnott L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370	Utility filing fee	370.00
106	330	206 165	Design filing fee	
107	510	207 255	Plant filing fee	
108	740	208 370	Reissue filing fee	
114	160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

### 2. EXTRA CLAIM FEES

Total Claims: 23  
Independent Claims: 4  
Multiple Dependent:   
Extra Claims: 20\*\* = 3 X 9.00 = 27.00  
- 3\*\* = 1 X 42.00 = 42.00

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9	Claims in excess of 20	
102	84	202 42	Independent claims in excess of 3	
104	280	204 140	Multiple dependent claim, if not paid	
109	84	209 42	** Reissue independent claims over original patent	
110	18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 69.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	65.00
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	Non-English specification	
147	2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	Extension for reply within first month	
116	400	216 200	Extension for reply within second month	
117	920	217 460	Extension for reply within third month	
118	1,440	218 720	Extension for reply within fourth month	720.00
128	1,960	228 980	Extension for reply within fifth month	
119	320	219 160	Notice of Appeal	
120	320	220 160	Filing a brief in support of an appeal	
121	280	221 140	Request for oral hearing	
138	1,510	138 1,510	Petition to institute a public use proceeding	
140	110	240 55	Petition to revive - unavoidable	
141	1,280	241 640	Petition to revive - unintentional	
142	1,280	242 640	Utility issue fee (or reissue)	
143	460	243 230	Design issue fee	
144	620	244 310	Plant issue fee	
122	130	122 130	Petitions to the Commissioner	130.00
123	50	123 50	Processing fee under 37 CFR 1.17(q)	
126	180	126 180	Submission of Information Disclosure Stmt	
581	40	581 40	Recording each patent assignment per property (times number of properties)	
146	740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370	Request for Continued Examination (RCE)	
169	900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 915.00

## SUBMITTED BY

Name (Print/Type)	John J. Arnott	Registration No. (Attorney/Agent)	39,095	Telephone	972-680-6059
Signature	<i>John J. Arnott</i>	Date	5/28/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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